



JFW

Please type a plus sign (+) inside this box → [ ]

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

|  |                                   |
|--|-----------------------------------|
| Application Number                       | 10/772,205                        |
| Filing Date                              | Feb 03, 2004                      |
| First Named Inventor                     | Brumme                            |
| Group Art Unit                           |                                   |
| Examiner Name                            |                                   |
| Total Number of Pages in This Submission | Attorney Docket Number MS1-1821US |

### ENCLOSURES (check all that apply)

|   |   |   |
|---|---|---|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><br><input type="checkbox"/> Amendment / Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><br><input type="checkbox"/> Extension of Time Request<br><br><input type="checkbox"/> Express Abandonment Request<br><br><input checked="" type="checkbox"/> Information Disclosure Statement<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><br><input type="checkbox"/> Response to Missing Parts/ Incomplete Application<br><br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><input type="checkbox"/> Drawing(s) Sheets<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br>Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>Form PTO-1449; return receipt postcard |
| Remarks   |   |   |

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

|                         |                                      |
|-------------------------|--------------------------------------|
| Firm or Individual name | Bradley K. DeSandro, Reg. No. 34,521 |
| Signature               |                                      |
| Date                    | 6/2/04                               |

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: \_\_\_\_\_

|                       |                 |      |        |
|-----------------------|-----------------|------|--------|
| Typed or printed name | Cheryl K. Boies | Date | 6/2/04 |
| Signature             |                 |      |        |

Burden Hour Statement: This form is estimated to take 0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /JG/



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2 Serial No. .... 10/772,205  
Filing Date ..... Feb 03, 2004  
3 Inventorship ..... Brumme  
Confirmation No. .... 4224  
4 Applicant ..... Microsoft Corporation  
Group Art Unit ..... 2127  
5 Examiner .....  
6 Attorney's Docket No. .... MS1-1821US  
Title: Hosted Code Runtime Protection

7  
8 **INFORMATION DISCLOSURE STATEMENT**  
9

References -- See Attached Form PTO-1449

10 **REMARKS**

11 The citations listed are submitted in compliance with the duty of disclosure  
12 defined in 37 CFR §1.56. The Examiner is requested to make these citations of  
13 official record in this application.

14  
15 Respectfully Submitted,

16 Date: 6/2/04

17 By: Bradley DeSandro  
18 Bradley K. DeSandro  
Reg. No. 34,521



Please type a plus sign (+) inside this box →

Substitute for form 1449A/PTO

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Shop

1

1

**Complete if Known**

|                      |              |
|----------------------|--------------|
| Application Number   | 10/772,205   |
| Filing Date          | Feb 03, 2004 |
| First Named Inventor | Brumme       |
| Group Art Unit       | 2434         |
| Examiner Name        | Jason Gee    |

Attorney Docket Number

U.S. PATENT DOCUMENTS

---

**FOREIGN PATENT DOCUMENTS**

---

|                       |             |                    |            |
|-----------------------|-------------|--------------------|------------|
| Examiner<br>Signature | /Jason Gee/ | Date<br>Considered | 11/10/2008 |
|-----------------------|-------------|--------------------|------------|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number.<sup>2</sup>See attached *Kind of U.S. Patent Document*.<sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).<sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.<sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.<sup>6</sup>Applicant is to place a check mark here if English/japanese Translation is attached.

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231.  
**DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH / VIG